

Docket Number: 040258-0307826  
Client Reference: EL010066CDC-DIV3

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of

TADAHIRO OHMI, et al.

Group Art Unit:

Application No.: 10/762,522

Examiner:

Filed: January 23, 2004

Confirmation No.:

For: FLASH MEMORY DEVICE AND A FABRICATION PROCESS THEREOF, METHOD OF FORMING A DIELECTRIC FILM

INFORMATION DISCLOSURE STATEMENT

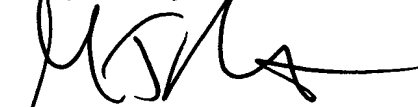
Commissioner for Patents  
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Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. As this application was filed after June 30, 2003, no copies of the cited U.S. patents/patent publication are enclosed. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the references has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits in the present application. No certification or fee is required.

Respectfully Submitted,



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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
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Substitute for form 1449A/PTO  <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;"><i>(Use as many sheets as necessary)</i></p>	<p style="text-align: center;"><b>Complete if Known</b></p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>Application Number</td> <td>10/762,522</td> </tr> <tr> <td>Filing Date</td> <td>01/23/2004</td> </tr> <tr> <td>First Named Inventor</td> <td>TADAHIRO OHMI</td> </tr> <tr> <td>Art Unit</td> <td>2811</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>040258-0307826</td> </tr> </table>	Application Number	10/762,522	Filing Date	01/23/2004	First Named Inventor	TADAHIRO OHMI	Art Unit	2811	Examiner Name		Attorney Docket Number	040258-0307826
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Attorney Docket Number	040258-0307826												
Sheet 1 of													

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-	Number-Kind Code <sup>2</sup> (if known)			
	1	US-	6,469,333 B1	10-22-2002	TAKAI et al.	
	2	US-	6,399,520 B1	06-04-2002	KAWAKAMI et al.	
	3	US-	6,287,988 B1	09-11-2001	NAGAMINE et al.	
	4	US-	6,040,216	03-21-2000	SUNG	
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FOREIGN PATENT DOCUMENTS								
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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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